



Receipt

A33437-065855.0122

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Boardman
Serial No. : 09/730,266 Examiner:
Filed : December 5, 2000 Group Art Unit: 2161
For : SYSTEM AND METHOD FOR ESTIMATING
DISTRIBUTION USING A PRODUCT
SPECIFIC UNIVERSE

REQUEST FOR CORRECTED FILING RECEIPT

I hereby certify that this paper is being deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Assistant Commissioner for Patents,
Washington, D.C. 20231

August 30, 2001

Date of Deposit

Paul D. Ackerman

Attorney Name

Signature

39,891

Registration No.

August 30, 2001

Date of Signature

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants request correction of the Official Filing Receipt mailed on
August 13, 2001 which improperly indicates that a non-publication request was not filed
with this application.

NY02:309108.1

RECEIVED

OCT 23 2001

OFFICE OF PETITIONS

RECEIVED

OCT 25 2001

OFFICE OF PETITIONS

CANCELLED

PATENT

Enclosed is a copy of the application transmittal which, on the first page, includes a signed certification and request for non-publication pursuant to 35 U.S.C. § 122(b). Also enclosed is a marked up version of the filing receipt reflecting the requested correction.

Respectfully submitted,



Paul D. Ackerman
Reg. No. 39,891

Attorney for Applicant
212-408-2585

BakerBotts L.L.P.
30 Rockefeller Plaza
New York NY 10112

Attachment

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OCT 23 2001

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NY02:309108.1

BAKER BOTTS LLP



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WASHINGTON

Appln. Trans.
PATENT

**UTILITY PATENT
APPLICATION
TRANSMITTAL**

*(Only for new nonprovisional
applications under 37 CFR 1.53(b))*

Attorney Docket No. A33437 (065855.0122)

First Named Inventor Chris Boardman

Express Mail Label No. EK839855705US

Total Pages 3

I hereby certify that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Paul D. Ackerman

December 5, 2000

Date

December 5, 2000

BY EXPRESS MAIL - Label No. EK839855705US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Chris Boardman, entitled SYSTEM AND METHOD FOR ESTIMATING PRODUCT DISTRIBUTION USING A PRODUCT SPECIFIC UNIVERSE.

which includes:

<input checked="" type="checkbox"/> Specification	<u>15</u> Total Pages
<input checked="" type="checkbox"/> Claims	<u>5</u> Total Pages
<input checked="" type="checkbox"/> Abstract	<u>1</u> Total Pages
<input checked="" type="checkbox"/> Drawing(s)	<u>5</u> Total Sheets
<u> </u> formal	
<u>X</u> informal	

☒ Combined Declaration and Power of Attorney 4 Total Pages

☒ Newly executed (original or copy)

☐ Copy from a prior application

(for continuation/divisional only - must be filed to avoid surcharge for late filing)



Appln. Trans.
PATENT

Attorney Docket No. A33437 (065855.0122)

If a continuing application, check appropriate box:

- ☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)
of prior application No.

☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☐ continuation-in-part
of copending application Serial No. filed ."

☒ An Assignment of the invention to IMS HEALTH INCORPORATED.

☒ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

☐ will follow.

☐ has been filed in the prior application

☐ Small Entity Statement(s) **ENCLOSED**.

☐ Small Entity Statement filed in prior application. Status still proper and desired.

☐ Information Disclosure Statement (IDS) PTO-1449

☐ Copies of IDS Citations.

☐ Preliminary Amendment

☒ Return Receipt Postcard

☐ Other

☐ Cancel in this application original claims of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

<u>FOR</u>	<u>(Col. 1)</u> <u>No. Filed</u>		<u>(Col. 2)</u> <u>No. Extra</u>		<u>Small Entity</u> <u>Rate</u> <u>Fee</u>		OR	<u>Other Than A</u> <u>Small Entity</u> <u>Rate</u> <u>Fee</u>	
Basic Fee									\$710.00
Total Claims	18	-20	=	0	x	9 =		x	18 =
						\$0.00			\$0.00
Ind. Claims	3	-3	=	0	x	40 =		x	80 =
						\$0.00			\$0.00
Multiple Dependent Claim						+ 135 =			+ 270 =
						<u>\$0.00</u>			<u>\$710.00</u>

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed

Appln. Trans.
PATENT

Attorney Docket No. A33437 (065855.0122)

<input checked="" type="checkbox"/>	Basic filing fee	\$710.00
<input checked="" type="checkbox"/>	Recording Assignment [\$40.00; 37 CFR 1.21(h)]	\$40.00
	Total Fees Enclosed	\$750.00

☒ A check in the amount of \$750.00 to cover filing fee and assignment recordation fee is enclosed.

Priority

☐ Priority of application Country __, Appln. No. __ filed __ is claimed under 35 U.S.C. 119.

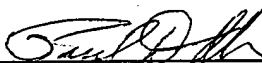
☐ Certified Copy of Priority Document(s) Country __, Appln No. __, filed __.

☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N __.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

By



Paul D. Ackerman

PTO Registration No. 39,891

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/730,266	12/05/2000	2163	710	A33437 (065855.0122)	5	18	3

21003
BAKER & BOTTS
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NEW YORK, NY 10112



CONFIRMATION NO. 7871
CORRECTED FILING RECEIPT



OC000000006416613

Date Mailed: 08/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Chris Boardman, Collegeville, PA;

Assignment For Published Patent Application

IMS HEALTH INCORPORATED;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/17/2001

Projected Publication Date: WDOT

Non-Publication Request: ~~No~~ Yes

Early Publication Request: No

Title

System and method for estimating product distribution using a product specific universe

Preliminary Class

705